

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



March 29, 2023

Jonathan Morse  
Sr. Manager Rates & Regulatory  
California-American Water Company  
520 Capitol Mall Ste. 630  
Sacramento, CA 95814

Dear Mr. Morse,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 1385, filed on September 1, 2022, regarding 2021 WRAM/MCBA – Northern for the Northern Division.

Enclosed are copies of the following revised tariff sheets, effective September 1, 2022, for the utility's files:

<b>P.U.C.</b>	
<b>Sheet No.</b>	<b>Title of Sheet</b>
10722-W	Schedule No. ND-1, Northern Division Tariff Area General Metered Services, Sheet 6
10723-W	Schedule No. ND-1, Northern Division Tariff Area General Metered Services, Sheet 7
10724-W	Schedule No. ND-1, Northern Division Tariff Area General Metered Services, Sheet 8
10725-W	Table of Contents, Sheet 3
10726-W	Table of Contents, Sheet 1

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you.

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**So iUtility Name:** California American Water

**Date Mailed to Service List:** September 1, 2022

**District:** Northern Division

**CPUC Utility #:** U210W

**Protest Deadline (20<sup>th</sup> Day):** September 21, 2022

**Advice Letter #:** 1385

**Review Deadline (30<sup>th</sup> Day):** October 1, 2022

**Tier**    1    2    3     Compliance

**Requested Effective Date:** September 1, 2022

**Authorization**

**Rate Impact:** \$See AL  
See AL%

**Description:** 2021 WRAM/MCBA – Northern

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Jonathan Morse

**Utility Contact:** Nancy Hollingsworth

**Phone:** 916-568-4237

**Phone:** 916-568-4209

**Email:** [Jonathan.Morse@amwater.com](mailto:Jonathan.Morse@amwater.com)

**Email:** [Nancy.Hollingsworth@amwater.com](mailto:Nancy.Hollingsworth@amwater.com)

**DWA Contact:** Tariff Unit

**Phone:** (415) 703-1133

**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



September 1, 2022

ADVICE LETTER NO. 1385

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Northern Division which are attached hereto:

### **PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2021 Water Revenue Adjustment Mechanism ("WRAM") and Modified Cost Balancing Account ("MCBA") balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041, D.18-12-021, and D.21-11-018. Additionally, through this filing California American Water requests a modification to the Interim Rate True-Up surcharge as filed and approved in AL 1378. The impact is a reduction to the surcharge for all Northern Division customers.

### **BACKGROUND**

In a settlement agreement adopted by D.13-07-041, which was issued in California American Water's general rate case Application 10-07-007, the parties agreed to the following WRAM/MCBA procedures outlined in D.12-04-048.

*The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:*

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. California American Water will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

In addition, D.18-12-021, which resolved California American Water’s general rate case Application 16-07-002, the Commission increased the cap on amortization of the WRAM/MCBA balances from 10% to 15%. and D.21-11-018, which resolved California American Water’s general rate case Application 19-07-004, maintained the cap through the next GRC cycle:

We find the Settlement, as it relates to Cal-Am’s WRAM/MCBA balances and the authorized cap established in D.18-12-021, is reasonable in light of the whole record of this proceeding, consistent with the law, and in the public interest, pursuant to the Commission’s approval of the temporary 15% cap in Cal-Am’s prior GRC proceeding. Cal-Am shall maintain the 15% cap related to its WRAM/MCBA balances as authorized in D.18-12-021 through this GRC cycle.<sup>1</sup>

**REQUEST**

The balances for the WRAM and MCBA are summarized in the table below.

Projected WRAM/MCBA Balances				
Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Projected Balance
AUTHORIZED PRIOR BALANCES REMAINING AT 12/31/2021	\$3,186,902	\$2,873	(\$136,781)	\$3,052,994
BALANCE NOT YET APPROVED FOR YEAR 2021	(\$1,251,923)	(\$607)	\$0	(\$1,252,530)
BALANCE ALL YEARS				\$1,800,464
2021 Authorized Revenue				\$67,168,201
2021 % of Revenue				1.9%
Net Balance % of Revenue				2.7%

<sup>1</sup> D.21-11-018 at 147.

Based on the above balance and 15% cap authorized in D.21-11-018, California American Water requests a meter based surcredit to credit customers the overcollected balance over a 12-month period be added to the Company's tariffs.

Additionally, California American Water requests a modification to the Interim Rate True-Up ("IRTU") surcharge as filed and approved in AL 1378. Through the preparation of this Northern Division WRAM/MCBA filing, California American water discovered a rate design error impacting the IRTU balance and associated surcharge. The total impact of the correction is a reduction of \$179,964 to the IRTU for the Northern Division. The IRTU surcharge began billing on August 23 and the total amount collected to date is \$5,707, that amount has been subtracted from the total IRTU balance in order to calculate an updated surcharge for Northern Division customers. Any over or undercollection at the end of the amortization period will be requested for recovery or refund in the Consolidated Expense Balancing Account ("CEBA")

#### **EFFECTIVE DATE**

California American Water submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of September 1, 2022.

#### **SERVICE LIST**

Service Lists – In accordance with General Rules 4.3 and 7.2, and Water Industry Rule 4.1, of General Order (GO) 96-B, Cal-Am served copies of this Advice Letter to adjacent utilities and other parties requesting such notification. Please note that, consistent with the Commission's guidelines for service during the COVID-19 pandemic, this advice letter is only being distributed electronically.

#### **RESPONSE OR PROTEST<sup>2</sup>**

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>3</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or

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<sup>2</sup> G.O. 96-B, General Rule 7.4.1

<sup>3</sup> G.O. 96-B, General Rule 7.4.2

Based on the above balance and 15% cap authorized in D.21-11-018, California American Water requests a meter based surcredit to credit customers the overcollected balance over a 12-month period be added to the Company's tariffs.

Additionally, California American Water requests a modification to the Interim Rate True-Up ("IRTU") surcharge as filed and approved in AL 1378. Through the preparation of this Northern Division WRAM/MCBA filing, California American water discovered a rate design error impacting the IRTU balance and associated surcharge. The total impact of the correction is a reduction of \$179,964 to the IRTU for the Northern Division. The IRTU surcharge began billing on August 23 and the total amount collected to date is \$5,707, that amount has been subtracted from the total IRTU balance in order to calculate an updated surcharge for Northern Division customers. Any over or undercollection at the end of the amortization period will be requested for recovery or refund in the Consolidated Expense Balancing Account ("CEBA")

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1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or

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<sup>2</sup> G.O. 96-B, General Rule 7.4.1

<sup>3</sup> G.O. 96-B, General Rule 7.4.2

6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

**Email Address:**

[nancy.hollingsworth@amwater.com](mailto:nancy.hollingsworth@amwater.com)

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

**Mailing Address:**

520 Capital Mall, Ste. 630  
Sacramento, CA 95814

555 Montgomery Street, Ste. 916  
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>4</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

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<sup>4</sup> G.O. 96-B, General Rule 7.4.3

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Senior Director of Rates & Regulatory



<b>Cal P.U.C. Sheet No.</b>	<b>Title of Sheet</b>	<b>Cancelling Cal P.U.C. Sheet No.</b>
10722-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 6	10559-W
10723-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 7	10560-W
10724-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 8	10304-W
10725-W	TABLE OF CONTENTS Sheet 3	10720-W
10726-W	TABLE OF CONTENTS Sheet 1	10721-W



Schedule No. ND-1  
Northern Division Tariff Area  
GENERAL METERED SERVICES

Sheet 7

**NORTHERN DIVISION TARIFF AREA (Continued)**

SPECIAL CONDITIONS (Continued):

Fees and Surcharges (Continued):

**Sacramento, Meadowbrook, and Larkfield Tariff Areas**

1. Per Advice Letter 1356, the under-collected balance in the Northern Division Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity- based surcharge of \$0.0209 per 100 gallons over 12 months beginning March 4, 2022. The total amount will be recovered from all classes of customers.
  
2. A surcharge/surcredit is included in each bill to recover the net under/over-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2021, the net over-collection totals \$1,800,464 including interest. (N)

Meter Size	Surcredit
5/8 x 3/4	\$ 1.58
3/4	\$ 2.36
1	\$ 3.94
1-1/2	\$ 7.88
2	\$ 12.61
3	\$ 23.64
4	\$ 39.40
6	\$ 78.80
8	\$ 126.09
10	\$ 181.25

(N)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1385  
Decision

ISSUED BY

J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 09/01/2022  
Effective 09/01/2022  
Resolution \_\_\_\_\_

Schedule No. ND-1

Sheet 8

Northern Division Tariff Area

GENERAL METERED SERVICES

**NORTHERN DIVISION TARIFF AREA (Continued)**

Fees and Surcharges (Continued)

**Sacramento and Meadowbrook Service Areas**

- Per D.18-12-021 a meter- based bill credit for the 2020 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customer over 12-months beginning November 1, 2021, as shown in the table below.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.20
3/4	\$0.30
1	\$0.51
1 1/2	\$1.01
2	\$1.62
3	\$3.04
4	\$5.06
6	\$10.13
8	\$16.21
10	\$23.30
12	\$33.43

- Per Advice Letter 1373 and D.16-12-014, a surcharge of \$0.26 per customer per month to recover the remaining balance associated with the Meadowbrook acquisition Contribution in Aid of Construction (CIAC) will be collected over 16 months beginning upon approval of Advice Letter 1373.

(D)

(D)

(L)

(L)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1385

J. T. LINAM

Date Filed 09/01/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 09/01/2022

Resolution \_\_\_\_\_

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Sheet 3

**SUBJECT MATTER OF SHEET**

**C.P.U.C. SHEET NO.**

RATE SCHEDULES (Continued):

**Northern Division**

*(Fruitridge Vista, Hillview, Larkfield, Meadowbrook, & Sacramento Service Areas)*

ND-1	General Metered Service	10670-W, 10671-W, 10672-W, 10637-W, 10638-W, 10722-W, 10723-W, 10724-W, 10561-W, 10441-W, 10442-W, 10708-W	(C) (C)
ND-HV-9M	Measured Services For Trucks	10673-W	
Schedule No. CA- Multi-Use	Multi-Use Residential Customers	10640-W	

**Central Division**

Monterey Service Area

*(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)*

MO-1-SF	General Metered Service	10678-W, 10555-W, 10266-W, Single Family Residential Customers	
MO-1-MF	General Metered Service	10712-W, 10713-W, 10703-W	
	Multi-Residential Customers	10679-W, 10553-W, 10272-W, 10714-W, 10715-W, 10704-W	
MO-1C	General Metered Service	10680-W, 10277-W, 10278-W, Non-Residential Customers	
		10279-W, 10552-W, 10281-W, 10716-W, 10568-W, 10705-W	
MO-1O	General Metered Service	10651-W, 10652-W, 10554-W, Other Customers	
		10288-W, 10717-W, 10569-W, 10706-W	
Schedule No. CA-Multi-Use	Multi-Use Residential Customers	10653-W	

Central Satellite Service Area

*(Ambler Park, Chualar, Garrapata, Ralph Lane & Toro Service Areas)*

CEN-SAT	General Metered Service	10681-W, 10655-W, 10364-W, 10718-W, 10719-W, 10707-W	
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**Southern Division**

*(Los Angeles Service Areas- Duarte, San Marino, Rio Plaza, Baldwin Hills, San Diego & Ventura Service Areas)*

SOU-1	General Metered Service	10686-W, 10687-W, 10663-W, 10376-W, 10574-W, 10575-W, 10576-W, 10709-W	
Schedule No. CA-Multi-Use	Multi-Use Residential Customers	10664-W	

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1385

J. T. LINAM

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DIRECTOR - Rates & Regulatory

Effective 09/01/2022

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Sheet 1

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<b><u>SERVICE AREA MAP:</u></b>		
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Bellflower Service Area	10626-W	
East Pasadena Service Area	10102-W	
Fruitridge Vista	9487-W	
Hillview Service Area	10619-W	
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Duarte	6578-W	
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Piru Service Area	10604-W	

(Continued)

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Effective 09/01/2022  
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**SOUTHERN DIVISION SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**

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San Gabriel, CA 91776

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San Gabriel, CA 91776

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City Clerk  
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Department of Water and Power  
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Los Angeles, CA 90012  
Attn: City Attorney

Veronica Ruiz, City Clerk  
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vruiz@cityofsanmarino.org

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Rex Ball  
SR/WA, Senior Real Property MGMT  
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222 South Hill Street, 3rd Floor  
Los Angeles, CA 90012

**SOUTHERN DIVISION SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**

**BY E-MAIL:**

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City Clerk/Water Department  
11333 Valley Blvd  
El Monte CA 91731-3293  
[cityclerk@elmonteca.gov](mailto:cityclerk@elmonteca.gov)

California Public Utilities Commission  
[PublicAdvocatesWater@cpuc.ca.gov](mailto:PublicAdvocatesWater@cpuc.ca.gov)

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Veronica Ruiz, City Clerk  
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San Marino, CA 91108  
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Duarte, CA 91010  
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Chief Deputy City Clerk  
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Irwindale, CA 91706  
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California Public Utilities Commission  
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Pasadena, CA 91107  
[larry@epwater.com](mailto:larry@epwater.com)

San Gabriel Valley Water Company  
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El Monte, CA 91734  
[dadellosa@sgvwater.com](mailto:dadellosa@sgvwater.com)

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